PTC/SB/21 (04-04)

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			Application Number		10/777,451	
TRA	ANSMITTA	<b>A</b> L	Filing Date		February 12, 2004	
	FORM		First Named Invento	or	Lilip Lau	
(to be used for	all correspondence after	initial filing)	Art Unit		Unassigned	
			Examiner Name		Unassigned	
Total Number of I	Pages in This Submission	)	Attorney Docket Nur	mber	PARCR 67465	
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	SIGNATUR	RE OF APPLICA	NT, ATTORNEY, OR	AGENT		
Firm or Individual name	FULWIDER PATTO	N LEE & UTECI	HT, LLP			
Signature	JanNe	rsy				
Date July 15, 2004						
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Signature		Japa		Date	July 15, 2004	

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John & Nagy, Esq., Reg. 30,664

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/777,451

**Applicants** 

: Lilip Lau, Matthew G. Fishler, Craig Mar

Filed

: February 12, 2004

Art Unit

: 3762

Examiner

: Unassigned

Title

: CARDIAC HARNESS FOR TREATING CONGESTIVE HEART

FAILURE AND FOR DEFIBRILLATING AND/OR

PACING/SENSING

Docket No.:

: PARCR 67465

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicant and are submitted to the Office under 37 C.F.R. § 1.97(b)(3) and §1.98(d). Enclosed is a copy of an Information Disclosure Statement and Form PTO/SB/08B as filed in the prior application, U.S. Serial No. 10/704,376. The references listed were previously submitted or were cited in prior application Serial No. 10/704,376. It is believed that these references will be helpful during examination of the application.

This application is a continuation of U.S. Serial No. 10/704,376 filed November 7, 2003. A copy of the documents listed on the Information Disclosure Statement by

Applicant Form PTO/SB/08A can be found in U.S. Serial No. 10/704,376. The foregoing application is incorporated herein by reference and priority is claimed to this application.

There is a typographical error in item 159 of the attached Form PTO/SB/08B. Item 159 should be U.S. Patent No. 6,045,097.

The two PCT references and the two articles which are referenced on Form PTO/SB/O8a are enclosed. Cite No. 25, WO 98/58598, is in the German language. The Abstract is in the English language, however no written English-language translation of this reference currently is within the possession, custody or control of, or readily available to any individual designated in 37 C.F.R. § 1.56(c).

Applicants believe that this Information Disclosure Statement has been mailed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: John S. Nagy

Registration No. 30,664

JSN:jeb

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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C	omplete if Known	
Application Number	10/777,451	
Filing Date	February 12, 2004	
First Named Inventor	Lilip Lau	
Art Unit	3762	
Examiner Name	Unassigned	
Attorney Docket Number	PARCR 67465	

				. PATENT DOCUMEN	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
	<del>-</del>	6,206,820 B1	(II KIIOWII)	03/27/01	Kazi et al.	
	1	6,537,203 B1		03/25/03	Alferness et al.	
	2	6,544,168 B2		04/08/03	Alferness	
	3	6,547,821 B1		04/11/03	Taylor et al.	
	4			05/13/03	Alferness et al.	
	5	6,564,094 B2		05/20/03	Alferness et al.	
	6	6,567,699 B2		05/27/03	Chin	
	7	6,569,082 B1		06/03/03	Shapland et al.	
	8	6,572,533 B1		06/10/03	Vanden Hoek et al.	
	9	6,575,921 B2		06/24/03	Alferness et al.	
	10	6,582,355 B2		07/01/03	Okuzumi	
	11	6,587,734 B2		07/22/03	Lau et al.	
	12	6,595,912 B2		08/05/03	Lau et al.	
	13	6,602,184 B2			Lau et al.	
	14	6,612,978 B2		09/02/03	Lau et al.	
	15	6,612,979 B2		09/02/03	Lau et al.	
	16	6,682,474 B2		01/27/04	Gifford, III et al.	
	17	6,685,620 B2		02/03/04		
	18	6,685,627 B2		02/03/04	Jayaraman Vanden Hoek et al.	
	19	6,689,048 B2		02/10/04		
	20	6,695,769 B2		02/24/04	French et al.	
	21	6,701,929 B2		03/09/04	Hussein	-
	22	6,702,732 B1		03/09/04	Lau et al.	
	23	6,723,041 B2		04/20/04	Lau et al.	
	24	6,730,016 B1		05/04/04	Cox et al.	

				FOREIGN	PATENT DOCU	MENTS		
Examiner	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines Where Relevant Passages	T <sup>6</sup>
Initials*		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY	Document	or Relevant Figures Appear	<u> </u>
	25	PCT	WO 98/58958		12/30/98	Haindl		
			WO 00/36995		06/29/00	Corset, Inc.		
	26	PCT	WO 00/30393		-			
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		Date	
	Examiner	Considered	
	Signature	Considered	

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Complete if Known Substitute for form 1449B/PTO 10/777,451 Application Number February 12, 2004 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Group Art Unit 3762 Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 67465 -11 Attorney Docket Number

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M		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No <sup>1</sup> .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	27	Vaynblat, Mikhail, M.D., et al., Cardiac Binding in Experimental Heart Failure, Annual Thoracic Surgery, pp. 81-85, 1997	
	28	Westaby, Stephen, et al., Landmarks in Cardiac Surgery, ISIS edical Media, pp. 198-199, 1997	
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